# Chapter 3

## Canonical forms

A field  $\mathbb{F}$  is said to be algebraically closed if every polynomial o degree greater than zero with coefficients in  $\mathbb{F}$  has zero in  $\mathbb{F}$ .

## 3.1 Elementary row and column operations on matrices

Let K be a field and  $\mathbb{F}[x]$  is the polynomial domain. We wish to study matrices over  $\mathbb{F}[x]$ , consider elementary operations in such matrices. That is The matrix operations of

- 1. Interchanging two rows or columns,  $(R_i \leftrightarrow R_j)$ .
- 2. Adding a multiple of one row or column to another,  $(R_i \leftrightarrow R_i + kR_j, k \neq 0)$ .
- 3. Multiplying any row or column by a nonzero element.  $(R_i \leftrightarrow kR_j)$ .

Each of the operations is called elementary operations.

**Definition 3.1.0.1.** A matrix obtained from the identity matrix I by applying an elementary operation is called **elementary matrix**.

Note: - Every elementary matrix is non-singular and its inverse is also elementary matrix.

## 3.2 Equivalence of matrices of polynomial

**Definition 3.2.0.2.** :- Let A and B be matrices over F(x). If B is obtained from A by performing any succession of F(x) elementary operations, A is said to F(x) equivalent to B. Notation:  $A \equiv B$ , B is obtained by  $\mathbb{F}(x)$  elementary operations of A, and  $A \equiv B$  iff B = PAQ where P, Q are products  $\mathbb{F}(x)$  elementary matrix.

Example 3.2.0.2. Show that

$$A \stackrel{\mathbb{F}[x]}{\equiv} B;$$

where

a. 
$$A(x) = \begin{pmatrix} x & x+1 \\ x^2 - x & x^2 - x \end{pmatrix}, B = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

b. 
$$A(x) = \begin{pmatrix} x^2 & x+1 \\ x-1 & x^2 \end{pmatrix}, B = \begin{pmatrix} 1 & 0 \\ 0 & x^4 - x^2 + 1 \end{pmatrix}.$$

#### 3.2.1 Determinantal divisors and related invariants

#### The $K^{th}$ order minor

**Definition 3.2.1.1.** Let A be an  $n \times n$  matrix over  $\mathbb{F}(x)$ ,  $A = (a_{ij})$ , if  $A_{ij}$  is a matrix obtained by deleting the  $i^{th}$  and  $j^{th}$  column of A. The scalar  $M_{ij} = \det(A_{ij})$  is defined as the  $(i, j)^{th}$  minor of A. The  $k \times k$  sub-matrix of A is formed by deleting n - k rows and n - k columns of A (that is obtained when the first k rows and columns of A are retained) is called the **principal sub matrix** and the determinant of principal sub matrix of A is called a **principal minor** of A. The **leading principal minor** of A of order k is the minor of order k obtained by deleting the last n - k rows and columns.

Example 3.2.1.1. 
$$A = \begin{pmatrix} 1 & 2x & 3 \\ 0 & 4 & 5x \\ x & 2 & 1 \end{pmatrix}$$
. Find the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> order minors of A.

 Solution:
 rows-columns
 1,2 (delete  $3^{rd}$  column)
 1,3 (delete  $2^{nd}$  column)
 2,3 (delete  $1^{st}$  column)

 1,2 (delete  $3^{nd}$  row)
  $\begin{pmatrix} 1 & 2x \\ 0 & 4 \end{pmatrix} = 4$   $\begin{pmatrix} 1 & 3 \\ 0 & 5x \end{pmatrix} = 5x$   $\begin{pmatrix} 2x & 3 \\ 4 & 5x \end{pmatrix} = 10x^2 - 12$  

 1,3 (delete  $2^{nd}$  row)
  $\begin{pmatrix} 1 & 2x \\ x & 2 \end{pmatrix} = 2 - 2x^2$   $\begin{pmatrix} 1 & 3 \\ x & 1 \end{pmatrix} = 1 - 3x$   $\begin{pmatrix} 2x & 3 \\ 2 & 1 \end{pmatrix} = 2x - 6$  

 2,3 (delete  $1^{st}$  row)
  $\begin{pmatrix} 0 & 4 \\ x & 2 \end{pmatrix} = -4x$   $\begin{pmatrix} 0 & 5x \\ x & 1 \end{pmatrix} = -5x^2$   $\begin{pmatrix} 1 & 3 \\ x & 1 \end{pmatrix} = 1 - 3x$ 

The  $2^{nd}$  order principal minors are

$$\begin{vmatrix} \begin{pmatrix} 1 & 2x \\ 0 & 4 \end{pmatrix} \end{vmatrix} = 4, \ \begin{vmatrix} \begin{pmatrix} 1 & 3 \\ x & 1 \end{pmatrix} \end{vmatrix} = 1 - 3x, \ \begin{vmatrix} \begin{pmatrix} 1 & 3 \\ x & 1 \end{pmatrix} \end{vmatrix} = 1 - 3x.$$

And the  $2^{nd}$  order leading principal minor is  $\begin{vmatrix} 1 & 2x \\ 0 & 4 \end{vmatrix} = 4$ .

#### definiteness and principal minors

**Theorem** 3.2.1.1. Let A be a symmetric  $n \times n$  matrix. Then we have:

- A is positive definite  $\Delta D_k > 0$  for all principal minors.
- A is negative definite  $\Leftrightarrow (-1)^k Dk > 0$  for all leading principal minors
- A is positive semidefinite  $\Leftrightarrow \Delta_k \geq 0$  for all principal minors  $\Delta_k$ .
- A is negative semidefinite  $(-1)^k \Delta_k \geq 0$  for all principal minors.

**Example 3.2.1.2.** Determine the definiteness of the symmetric matrix  $A = \begin{pmatrix} 1 & 4 & 6 \\ 4 & 2 & 1 \\ 6 & 1 & 6 \end{pmatrix}$ .

Solution:

$$D_1 = 1, D_2 = \begin{vmatrix} 1 & 4 \\ 4 & 2 \end{vmatrix} = -14, \ D_3 = \begin{vmatrix} 1 & 4 & 6 \\ 4 & 2 & 1 \\ 6 & 1 & 6 \end{vmatrix} = -109.$$

Let us compare with the criteria in the theorem: A is indefinite.

### 3.3 Smith canonical forms and invariant factors

Let A and B be  $m \times n$  matrix over F[x].

<u>Lemma</u> 3.3.0.1. Suppose B = PAQ where P is a product of  $\mathbb{F}[x]$  elementary matrices, then every  $k^{th}$  order minor of B is a linear combination over  $\mathbb{F}[x]$  of  $k^{th}$  order minor of A.

**Theorem** 3.3.0.2. Let A, B be  $m \times n$  matrices over  $\mathbb{F}[x]$ , then every  $k^{th}$  order minor of B is a linear combination over  $\mathbb{F}[x]$  of  $k^{th}$  order minor of A.

Corollary 3.3.0.1. Let A, B be  $m \times n$  matrices over  $\mathbb{F}[x]$ , then  $A \stackrel{\mathbb{F}[x]}{=} implies \ rank(A) = rank(B)$ .

Corollary 3.3.0.2. Let  $A \stackrel{\mathbb{F}[x]}{=}$ ,  $d_k(x) = \gcd\{all\ K^{th}\ order\ minors\ of\ A\}$ , then

$$d_k(x) = \gcd\{all\ k^{th}\ order\ minors\ of\ B\}.$$

**Lemma 3.3.0.2.** Let A be a non-zero  $m \times n$  matrix over  $\mathbb{F}[x]$ , then A is  $\mathbb{F}[x]$  equivalent to  $m \times n$  matrix over  $\mathbb{F}[x]$  of the form:  $\begin{pmatrix} f_1(x) & 0 \\ 0 & A_1 \end{pmatrix}$ , where  $f_1(x)$  is a monic polynomial of minimal degree among all non-zero elements of all matrices  $\mathbb{F}[x]$  equivalent to A.

<u>Theorem</u> 3.3.0.3. Let A, B be equivalent matrices, B,  $d_k(x) = gcd\{all\ k^{th}\ order\ minors\ of\ A\},\ then$ 

$$d_k = f_1(x).f_2(x)...f_k(x).$$

$$D = \begin{pmatrix} f_1(x) & 0 & 0 \\ 0 & f_2(x) & 0 \\ 0 & 0 & f_3(x) \end{pmatrix}.$$

$$D_1 = \gcd\{f_1(x), f_2(x), f_3(x)\} = f_1(x),$$

$$D_2 = \gcd\{f_1f_2, f_2f_3, f_1f_3\} = f_1f_2.$$

$$\vdots$$

<u>Theorem</u> 3.3.0.4. Let A, B be similar matrices. The polynomials  $f_1(x)$ ,  $f_2(x)$ , ...,  $f_k(x)$  are uniquely determined by the matrix A.

**Definition 3.3.0.2.** Let A and B be similar matrices. The polynomial  $f_1(x)$ ,  $f_2(x)$ , ...,  $f_k(x)$  are called the invariant factors of A.

The matrix B is called the smith canonical form of A and denoted by SCF(A).

Corollary 3.3.0.3. Let  $A_1$ ,  $A_2$  be  $m \times n$  matrices over  $\mathbb{F}[x]$ .  $A_1 \stackrel{\mathbb{F}[x]}{=} A_2$  iff  $A_1$ ,  $A_2$  have the same invariant factors.

**Example 3.3.0.3.** Find the invariant factors of  $A = \begin{pmatrix} x & 1 & 0 \\ 0 & x & 1 \\ 2 & 3 & x-1 \end{pmatrix}$  and its smith canonical form.

Solution: The 1<sup>st</sup> order minors A are 0, 1, x, 2, 3, x-1.

$$d_1(x) = f_1(x) = \gcd\{0, 1, 2, 3, x, x - 1\} = 1.$$

 $2^{nd}$  order minors are

rows-columns	$1.2 (delete 3^{rd} column)$	$1,3$ (delete $2^{nd}$ column)	$2,3 \ (delete \ 1^{st} \ column)$
$1,2 (delete 2^{nd} row)$	$ \left  \begin{pmatrix} x & 1 \\ 0 & x \end{pmatrix} \right  = x^2 $	$\begin{vmatrix} x & 0 \\ 0 & 1 \end{vmatrix} = x$	$\begin{vmatrix} 1 & 0 \\ x & 1 \end{vmatrix} = 1$
$1,3 \ (delete \ 1^{st} \ row)$	$ \begin{vmatrix} \begin{pmatrix} x & 1 \\ 2 & 3 \end{pmatrix} \end{vmatrix} = 3x - 2 $	$ \begin{vmatrix} x & 0 \\ 2 & x - 1 \end{vmatrix} = x^2 - x $	$ \begin{vmatrix} 1 & 0 \\ 3 & x - 1 \end{vmatrix} = x - 1 $
2,3 (delete 1 <sup>st</sup> row)	$ \begin{vmatrix} 0 & x \\ 2 & 3 \end{vmatrix} = -2x $	$ \begin{vmatrix} 0 & 1 \\ 2 & 3 \end{vmatrix} = -5x^2 $	$ \begin{vmatrix} x & 1 \\ 3 & x - 1 \end{vmatrix} = x^2 - x - 3 $

The  $3^{rd}$  order minor is  $|A| = x^3 - x^2 - 5x + 2$ ,

$$d_3(x) = \gcd\{x^3 - x^2 - 5x + 2\} = x^3 - x^2 - 5x + 2, \ f_3(x) = \frac{d_3(x)}{d_2(x)} = d_3(x) = x^3 - x^2 - 5x + 2.$$

The similarity invariants of A are  $f_1(x) = 1$ ,  $f_2(x) = 1$ ,  $f_3(x) = x^3 - x^2 - 5x + 2$ . The smith canonical form of A is

$$D = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & x^3 - x^2 - 3x + 2 \end{pmatrix}.$$

Exercise 3.3.0.5. Find the smith canonical form and invariant factors of the following matrices.
a.

$$A = \begin{pmatrix} x & x \\ x^2 + x & x \end{pmatrix} \qquad b.B = \begin{pmatrix} x & x^2 \\ x^3 & x^5 \end{pmatrix} \qquad c.C = \begin{pmatrix} x & x+1 \\ x+2 & x+3 \end{pmatrix}$$

### 3.3.1 Similarity of matrices and invariant factors

<u>Theorem</u> 3.3.1.1. Let A be a matrix over  $\mathbb{F}[x]$  is a product of F[x] elementary matrix iff  $det(A) \neq 0$ .

<u>Theorem</u> 3.3.1.2. Let A be a square matrix over F[x]. A has an inverse over F[x] iff A is a product of F[x] elementary matrix.

**Example 3.3.1.1.** Determine whether or not the following matrices over F[x] are non-singular or not  $(\mathbb{F} = \mathbb{R})$ .  $A = \begin{pmatrix} x & x+1 \\ x+2 & x+3 \end{pmatrix}$  b.  $B = \begin{pmatrix} 1 & x \\ x & x^2+1 \end{pmatrix}$  Solution:  $det(A) = -2 \neq 0$ . Hence A is invertible.

<u>Theorem</u> 3.3.1.3. Let A be an nxn matrix over  $\mathbb{F}[x]$ ,  $X_A(x) =$  the characteristics of A. Then  $X_A(x)$  is the product of the invariant factors of (xI - A). (i.e.If  $f_1(x), f_2(x), ..., f_n(x)$  are invariant factors of  $(xI - A), X_A(x) = f_1(x).f_2(x)....f_n(x)$ . **Proof**:

**Definition 3.3.1.1.** Let A be an nxn matrix over the field  $\mathbb{F}$ , then the invariant factors of (xI - A) is called **similarity invariant** of A.

**Theorem** 3.3.1.4. Let A be an nxn matrix over  $\mathbb{F}[x]$ ,  $M_A(x) =$  the minimal polynomial of A, then  $M_A(x) = f_n(x)$ , where  $f_n(x)$  if the similarity invariant of a of highest degree of A.

#### 3.4 The rational canonical forms

Consider the monic polynomial of degree 'n'.

$$f(x) = x^{n} + a_{1}x^{n-1} + \dots + a_{n-1}x + a_{n} = x^{n} - (-a_{1}x^{n-1} - \dots - a_{n-1}x - a_{n})$$

associate with f(x) is the  $n \times n$  matrix. The companion matrix is given by

$$A = \begin{pmatrix} 0 & 1 & 0 & \dots & 0 & 0 \\ 0 & 0 & 1 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & 0 & 1 \\ -a_n & -a_{n-1} & -a_{n-2} & \dots & -a_2 & -a_1 \end{pmatrix}.$$

It is denoted by C(f).

Note:-

If n=1, n= degree of polynomial  $f(x)=x-a_0$  the companion matrix  $C(f)=(a_0)$ .

If 
$$n=2$$
 and  $f(x) = x^2 - (a_1x + a_2)$ , then  $C(f) = \begin{pmatrix} 0 & 1 \\ a_2 & a_1 \end{pmatrix}$ .

If n=4,  $f(x) = x^4 - (3x^3 + 2x^2 - 5x + 2)$ , then companion matrix

$$C(f) = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 2 & -5 & 2 & 3 \end{pmatrix}.$$

**Theorem** 3.4.0.5. Let  $f(x) = x^n + a_1 x^{n-1} + ... + a_{n-1} x + a_n$ , then the characteristic and minimal polynomial of the C(f) are both equal to f(x).

<u>Theorem</u> 3.4.0.6. Let  $f(x) = x^n + a_1 x^{n-1} + ... + a_{n-1} x + a_n$  be monic polynomial of degree n.

$$[xI - C(f)] \stackrel{\mathbb{F}[x]}{\equiv} diag(1, 1, ..., f(x)).$$

**Theorem** 3.4.0.7. Let  $f_1(x).f_2(x).....f_r(x)$  be non constant monic polynomials over  $\mathbb{F}$  such that  $f_i|f_i(i+1)$  for i=1:r-1, the campanion matrix  $Ci=C(f_i), i=1,2,...,r$ . Then the matrix

$$B = diag(C_1, C_2, ..., C_r)$$

has

$$f_1(x).f_2(x)....f_r(x)$$

its non-trivial similarity invariants.

<u>Theorem</u> 3.4.0.8. every non-singular matrix A over a field  $\mathbb{F}$  is similar to a diagonal block matrix, where each diagonal block is the companion matrix of the non-trivial similarity invariant of A.

**Definition 3.4.0.2.** A diagonal block matrix  $diag(C_1, C_2, ..., C_r)$  of the above theorem is called **rational canonical form** for the matrix similar to A.

Example 3.4.0.2. Let  $A = \begin{pmatrix} 6 & 2 & -2 \\ -2 & 2 & 2 \\ 2 & 2 & 2 \end{pmatrix}$ . Find the rational canonical form of matrices which

are similar to A.

Solution:

similarity invariants are obtained from the matrix similar to A which is (xI - A).

$$(xI - A) = x \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} - \begin{pmatrix} 6 & 2 & -2 \\ -2 & 2 & 2 \\ 2 & 2 & 2 \end{pmatrix} = \begin{pmatrix} x - 6 & -2 & 2 \\ 2 & x - 2 & -2 \\ -2 & -2 & x - 2 \end{pmatrix}.$$

Now,

$$d_1(x) = the \ gcd\{of \ 1^{st} \ order \ minor \ of \ (xI - A)\}\$$
  
=  $gcd\{x - 6, -2, 2, x - 2\} = 1 = f_1(x),$ 

 $d_2(x) = \gcd\{\text{ of all } 2^{nd} \text{ order minors of } (xI - A)\} = \gcd\{x^2 - 4x, \ 2x - 8, \ -2x + 8, \ x^2 - 8x + 16\} = x - 4.$ 

$$f_2(x) = \frac{d_2(x)}{d_1(x)} = \frac{(x-4)}{1} = x - 4,$$

$$d_3(x) = \det(xI - A) = x^3 - 10x^2 + 32x + 32 = (x-4)^4(x-2),$$

$$f_3(x) = \frac{d_3(x)}{d_2(x)} = \frac{(x-4)^4(x-2)}{(x-4)} = (x-4)(x-2) = x^2 - 6x + 8.$$

$$f_1(x), f_2(x), f_3(x)$$

are similarity invariants of a matrix similar to A. But they are non trivial.

The companion matrix for  $f_2(x)$  is  $C(f_2) = (4)$ , and the companion matrix for  $f_3(x)$  is  $C(f_3) = \begin{pmatrix} 0 & 1 \\ -8 & 6 \end{pmatrix}$ .

Therefore, the rational canonical form of the matrices similar to A is

$$C(f) = \begin{pmatrix} 4 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -8 & 6 \end{pmatrix}.$$

The minimal polynomial  $\mathcal{M}_A(x)$  of A is  $\mathcal{M}_A(x) = x^2 - 6x + 8$ .

### 3.4.1 Elementary divisors

Let  $\mathcal{X}_A(x)$  and  $f_1(x).f_2(x)....f_r(x)$  be the characteristic polynomial and the similarity invariants of an  $n \times n$  matrix A over a field  $\mathbb{F}$ .

Suppose that the  $\mathcal{X}_A(x) = p_1^{e_1} p_2^{e_2} ... p_t^{e_t}$ , where  $p_1, p_2, ..., p_t$  are distinct monic polynomials which are irreducible over  $\mathbb{F}$  and each  $e_i$  is positive integer and the non-trivial similarity invariant of A is given by

$$f_i = p_1^{e_{i1}} p_2^{e_{i2}} ... p_t^{e_{it}}.$$

Since  $f_i|f_{i+1}, e_{i+1,j} \ge e_{ij}$ .

**Definition 3.4.1.1.** The polynomial  $p_j$  which appears in similarity invariants of A with non-zero exponents  $e_{ij}$  are called the elementary divisors of A over the field  $\mathbb{F}$ . Remark:

 $p_j(x)$  is a monic polynomial.  $p_j(x)$  is a power of irreducible polynomial (one cannot be factored). The characteristic polynomial of A is a product elementary divisors.

Hence the minimal polynomial is the least common multiple of all elementary divisors.

Example 3.4.1.1. Let  $f_1(x) = (x-1)(x+1)$ ,  $f_2(x) = (x^2-1)(x+1)(x^2+2)$ ,  $f_3(x) = (x-1)^2(x-1)^2(x^2+2)$  be similarity invariants of A, then the elementary divisors of A are

$$(x-1)(x+1)$$
,  $(x-1)^2$ ,  $x+1$ ,  $x^2+2$ ,  $(x-1)^2$ ,  $(x+1)^2$ ,  $x^2+2$ .

**Example 3.4.1.2.** Suppose x, x,  $x^2$ , x + 1,  $(x - 1)^2$ ,  $(x - 1)^2$ ,  $(x - 1)^3$  are the elements of the elementary divisors of A, then the non trivial similarity invariants of are

$$f_1(x) = x$$
,  $f_2(x) = x(x-1)(x+1)$ ,  $f_3(x) = (x)^2(x-1)^3(x-1)^2$ .

<u>Theorem</u> 3.4.1.1. Let A and B be an  $n \times n$  matrix over a field  $\mathbb{F}$ .  $A \sim B$  if and only if A and B have the same elementary divisor.

### 3.5 The normal and Jordan canonical forms

#### 3.5.1 Normal canonical forms

Let  $f(x) = x^n + a_1 x^{n-1} + ... + a_{n-1} x + a_n$ . We can write  $f(x) = p_1^{e_1} p_2^{e_2} ... p_t^{e_t}$ , where  $p_j$  are distinct monic, irreducible polynomials over  $\mathbb{F}[x]$  and  $e_i$ 's are positive integers.

**<u>Lemma</u>** 3.5.1.1. let C = C(f). Then  $C \sim diag(C_1, C_2, ..., C_t)$  where  $C_i = f(p_i^{e_i})$ .

**Lemma 3.5.1.2.** Let A be  $n \times n$  matrix over a field  $\mathbb{F}$ ,  $g_1, g_2, ..., g_n$  be the elementary divisors of A,  $C_i = f(g_i)$  for i = 1, 2, ..., r. Then  $A \sim daiag(C_1, C_2, ..., C_r)$ .

Definition 3.5.1.1. Let  $C_1, C_2, ..., C_r$  be the matrix  $diag(C_1, C_2, ..., C_r)$  is called **normal canonical form** for the matrix similar to A.

Example 3.5.1.1. Find the normal canonical form of a matrix similar to

$$A = \begin{pmatrix} 6 & 2 & 2 \\ -2 & 2 & 0 \\ 0 & 0 & 2. \end{pmatrix}.$$

Solution:

Step1:- find elementary divisor of  $(xI - A) = \begin{pmatrix} x - 6 & -2 & -2 \\ 2 & x - 2 & 0 \\ 0 & 0 & x - 2 \end{pmatrix}$ .

The 1<sup>st</sup> order minors of (xI - A) are x - 6, -2, -2, -2, 2, 0, x - 2

$$d_1(x) = \gcd\{0, -2, 2, x - 6, x - 2\} = 1 = f_1(x).$$

The  $2^{nd}$  order minors of (xI - A) are

$$x^{2} - 8x + 16, x^{2} - 4x + 4, -(x - 2), 4, 2(x - 2), x^{2} - 8x + 10, x - 4$$

$$d_{2}(x) = gcdx^{2} - 8x + 16, x^{2} - 4x + 4, -(x - 2), 4, 2(x - 2), x^{2} - 8x + 10, x - 4 = 1,$$

$$f_{2}(x) = \frac{d_{2}(x)}{d_{1}(x)} = 1.$$

The 3<sup>rd</sup> order minor of (xI - A) is  $(x - 2)(x - 4)^2 = d_3(x)$ .

$$f_3(x) = \frac{d_3(x)}{d_2(x)} = (x-2)(x-4)^2.$$

The elementary divisors of A are (x-2) and  $(x-4)^2$ .

Step 2:- compute the companion matrix for the elementary divisors of (xI-A). Let  $C_1 = C(x-2) = (2)$ ,  $C_2 = C((x-4)^2) = C(x^2 - 8x + 16) = \begin{pmatrix} 0 & 1 - 16 & 8 \end{pmatrix}$ . Step 3:-

$$A \sim diag(C_1, C_2) = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -16 & 8 \end{pmatrix}$$

which is the normal canonical form of any matrix similar to A.

#### 3.5.2 Jordan Canonical Form

Suppose the elementary divisors  $p_j^{e_{ij}}$  of an  $n \times n$  matrix A are of the form  $(x - \lambda_j)^{e_{ij}}$ . We define the Jordan block corresponding to the elementary divisor  $p_j^{e_{ij}} = (x - \lambda_j)^{e_{ij}}$  to be the  $e_{ij} \times e_{ij}$  of matrix  $J_j$ , given by

$$J_{j} = \begin{pmatrix} \lambda_{j1} & 1 & 0 & \dots & 0 \\ 0 & \lambda_{j2} & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & \lambda_{j2} \end{pmatrix}.$$

**Theorem** 3.5.2.1. Let  $\mathbb{F}$  be algebraically closed field. If the  $n \times n$  matrix A over  $\mathbb{F}$  has r elementary divisors with associated Jordan  $J_1, J_2, \dots, J_r$ , then  $A \sim diag(J_1, J_2, \dots, J_r)$ .

**Definition 3.5.2.1.** If  $A \sim diag(J_1, J_2, ..., J_r)$  is called the **Jordan canonical form** of A.

Example 3.5.2.1. Let  $A = \begin{pmatrix} 6 & 2 & 2 \\ -2 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix}$ . Find the jordan canonical form of the matrix similar

to A.

Solution: From previous example we have seen (x-2) and  $(x-4)^2$  are elementary divisors of A. Let  $J_1$  be the jordan canonical form of (x-2),  $J_1 = (2)$ . Let  $J_2$  be the jordan canonical form of

$$(x-4)^2$$
,  $J_2 = \begin{pmatrix} 4 & 1 \\ 0 & 4 \end{pmatrix}$ .

Hence the Jordan canonical form of the matrices similar to A is given by

$$diag(J_1, J_2) = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 4 & 1 \\ 0 & 0 & 4 \end{pmatrix}.$$